

FATCA Information for Retail Clients:NAME: _____
LAST NAME FIRST NAME MIDDLE NAMEPlace of Birth: _____, _____ US Citizen: __Yes __No
City CountryU.S. Address, if any: __Yes __No
(If Yes, please indicate whether Present, Permanent, Mailing): _____U.S. Phone Number, if any: ____Yes ____No
(If Yes, please indicate including country code and area code): _____ + _____ + _____
Country Code + Area Code + Phone Number

Did the customer stay in the U.S. for 183 days or more for the last 3 years? ____Yes ____No

This should be computed as follows under Section 7701(b) (3) of the US Tax Code:

1. All the days present in the current year, and
2. 1/3 of the days presents in the first year before the current year, and
3. 1/6 of the days present in the second year before the current year.

Is U.S. TIN Available? __Yes __No
(If Yes, please indicate U.S. TIN): _____**FATCA Information for Corporate Clients:**

CLIENT NAME: _____

U.S. Place of Incorporation/Establishment: __Yes __No

U.S. Phone Number, if any: __Yes __No
(If Yes, please indicate including country code and area code): _____ + _____ + _____
Country Code + Area Code + Phone Number

Any of the authorized signatories has US address? __Yes __No

Other Information:I Agree Disagree

To have my information shared with the Yuchengco Group of Companies (YGC) and RCBC's subsidiaries and affiliates for processing, referral, offer and cross-selling of products and services that I may be eligible subject to their respective Terms & Conditions and limitations set forth by law.

I Agree Disagree

To have my accounts' / customer information used in my application for other products and services offered by RCBC and YGC.

SUPPLEMENTAL TERMS AND CONDITIONS*General Provisions for Trading Account*

1. Documents and Information for Opening of Accounts: The CLIENT represents and warrants that all documents, including identification papers/cards, presented or to be presented, and all information provided or to be provided by the CLIENT to RCBCSI, in connection with the CLIENT's application for the opening of, or for any transaction under, any and all present and future accounts (the "Account/s") with it are all genuine, true, complete and valid and that the said documents have not been cancelled or revoked and the information correct and subsisting as of the date they were provided to RCBCSI.

The CLIENT also warrants that he will submit the documents and information required by RCBCSI, in relation to such account opening within the required period as may be imposed by RCBCSI. Failure to submit said documents or information shall result in closure of the Account/s.

The CLIENT also warrants that, in case of any change in the submitted documents and information, the CLIENT will immediately inform RCBCSI in writing and submit all relevant documents and information in relation to such change; otherwise, correspondence sent to the last address given shall be deemed to have been received by the CLIENT.

2. Authority to Disclose: The CLIENT hereby authorizes RCBCSI and any affiliate, agent, representative, third party or its duly authorized personnel to transfer or disclose to the Bangko Sentral ng Pilipinas, Anti-Money Laundering Council, Bureau of Internal Revenue, the U.S. IRS, or such other relevant regulatory agency and their duly authorized representatives, any information in relation to the Account/s with RCBCSI as may be required by law, regulation, or agreement.

The Client acknowledges that the applicable laws may require the disclosure of information relating to the Client and/or the accounts as required by a regulatory authority (anybody that has legal authority in the Philippines to regulate the conduct of the Client, Broker or any Exchange, Clearing House). The Client hereby authorizes RCBCSI whether during the continuance or after the termination of the Agreement, to disclose such information and to provide such documents (or copies) in RCBCSI's possession as may be legally requested by the regulatory authority, including without limitation the name of the Client and where known by RCBCSI the identity of the ultimate beneficiary which transaction are effected by the Client, and the financial position of the Client for the time being as may be known to RCBCSI. The CLIENT agrees to indemnify and hold RCBCSI free and harmless, including its officers, directors, employees and representatives, against any and all disputes, claims, demands, losses, penalties, liabilities, costs and expenses of any kind whatsoever, imposed on, incurred by or assessed against the CLIENT in respect of or in connection with the information provided in relation to the Accounts, the disclosure of such documents and information on the Accounts, and the consent herein granted.

3. U.S. Person Declaration: If the CLIENT is a U.S. person (i.e., a citizen or lawful resident [green card holder] of the United States of America, or juridical entity organized under the laws of the United States of America), the CLIENT shall identify himself/itself as one, provide RCBC with his/its U.S. taxpayer identification number, and comply with all information and documentary requirements under U.S. regulations/agreements. The CLIENT hereby declares under penalty of perjury that:

- (a) The U.S. taxpayer identification number provided is true and correct; and
- (b) It/He agrees to waive any bank secrecy, privacy or data protection rights related to the CLIENT's Account/s

- 4. Withholding: The CLIENT hereby authorizes RCBCSI to withhold any and all taxes/ amounts in accordance with applicable local and foreign laws or regulations, or as may be required by or pursuant to agreements with local or foreign regulators, authorities or bodies. RCBCSI shall notify the CLIENT thereof via mail to the CLIENT's last known address on file or via electronic mail to the CLIENT's designated email address if enrolled in RCBCSI's electronic channels.
- 5. Applicable Rules and Regulations: In all cases not specifically provided for in the foregoing or otherwise by written agreement between RCBCSI and the CLIENT, the usual customs and procedure common in brokers in the Philippines shall exclusively govern all transactions between RCBCSI and the CLIENT, with regard to the Account/s. The Account/s are also subject to such regulations, terms and/or conditions as may be imposed by BSP, U.S. IRS, and other regulatory agencies relative to the establishment and operation of the Account/s.
- 6. That the information collected, to be processed and retained, including updates shall be for the following purposes:
 - a. Account opening and client identification;
 - b. Client risk profile assessment;
 - c. Offer and referral of other products and services, and cross-selling subject to client's consent herein; and
 - d. Compliance with BSP rules, AMLA, FATCA and such other purposes that may be required or allowed by law.
- 7. Amendment: RCBCSI reserves the right to amend these Terms and Conditions at any time and without need of prior or subsequent notice of changes to the CLIENT.

Any amendments or changes may be posted through the Company's website, or by publication or other means of communication, electronic or otherwise.
- 8. Repeal: All the other terms and conditions inconsistent with these Supplemental Terms and Conditions are hereby superseded or modified accordingly.

Client Acknowledgement

I/We, hereby acknowledge having read and clearly understood the foregoing and agree to have my present and future accounts governed by these Supplemental Terms and Conditions.

Client Signature over Printed Name / Date

Client Signature over Printed Name / Date

Client Signature over Printed Name / Date

Client Signature over Printed Name / Date